

AGREEMENT FOR PUBLICATION AND MARKETING OF THE
IEEE Transactions on Artificial Intelligence

BETWEEN THE

Financial Sponsors

IEEE Computational Intelligence Society
IEEE Computer Society
IEEE Systems, Man, and Cybernetics Society
IEEE Signal Processing Society

Technical Sponsor

IEEE Robotics and Automation Society

[February, 2020]

MEMORANDUM OF UNDERSTANDING FOR FINANCIAL CO-SPONSORSHIP

The Institute of Electrical and Electronics Engineers, Inc. (IEEE) Societies identified below agree to jointly publish a TAI is a standard hybrid publication, electronic only periodical to be known as the IEEE Transactions on Artificial Intelligence (hereafter "the Publication") and to support the Publication with the below stated percentage shares:

- Financial Sponsors
 - IEEE Computational Intelligence Society (CIS) [30%] – Leading Society
 - IEEE Computer Society (CS) [30%]
 - IEEE Systems, Man, and Cybernetics Society (SMC) [30%]
 - IEEE Signal Processing Society (SPS) [10%]
- Technical Sponsor
 - IEEE Robotics and Automation Society (RAS)

This collective of Sponsors Societies will be hereafter referred to as the "Sponsors".

MANAGEMENT COMMITTEE AND EDITORIAL SUPPORT STRUCTURE

CIS is the Administrative Partner in this agreement and the publisher of the journal. CIS shall be responsible for producing the journal and providing the Financial Sponsors with, at least annually, reports relevant to submission vs publication timeliness, and other pertinent data about the journal. Each year, CIS will provide the Publication's statistics, history, and trends to the Management Committee along with their recommendation for the page budget. The Management Committee shall vote annually to set the Publication's page count and frequency for the following year. CIS shall administer all production, initial budget preparations, accounting, financial reports, and communications with the Financial Sponsors and the IEEE Technical Activities Board.

The management of the Publication shall be vested in a Management Committee (hereafter "Committee") composed of two members from each financial sponsor, one member from RAS, a non-voting Editor-in-Chief (ex-officio), and a non-voting Editor-elect. The Committee, with the assistance of the VP Finance for CIS, shall develop the budget. The Committee will elect the Editor-in-Chief-elect, and establish operating policies and procedures for the Publication. Voting on all actions of the Committee, except as specified below, shall require a two-third affirmative, and be in accordance with Robert's Rules of Order. There shall be no proxy voting.

The Committee shall elect Officers from the Committee members, including a Chair and Secretary. The Chair shall be responsible for the Committee administrative functions, which include arranging and running Committee meetings. The Secretary shall be responsible for developing and providing all Committee members and the appropriate IEEE personnel with the minutes of each of the Committee meetings. In particular, the Secretary shall provide copies of the minutes to the Financial Sponsors' Vice Presidents for Publications to ensure a flow of communication remains open between the Committee and the Financial Sponsors. Financial Sponsors may also request and receive status reports about the Publication from the Secretary at any time. In addition to the usual duties regarding minutes, reports, records, etc., the Secretary shall serve as Chair pro tem in the absence of the Chair.

The Committee shall meet as required, in person or via web-conference/teleconference, no less than once per year. Urgent matters may be decided by teleconference, correspondence, or e-mail, provided a diligent effort is made to contact all members of the Committee and the required fraction of the Committee approves the proposed action with regard to the matter. All costs for each Managing Committee representative shall be covered by the appointing organization. Travel costs for the Editor-in-Chief of the Publication to attend the annual IEEE Panel of Editors and the Committee meeting, as well as the actual meeting expenses for the Committee, shall be budgeted

as an editorial expense and borne by the Publication.

The Sponsors shall be responsible for appointing their respective representatives to the Committee. The term of office of the representatives shall be two years, with the appointments staggered so that each of the participating organizations is changing some but not all of its representatives to preserve some experience to aid transitions at all times. To begin implementation of this staggered term expiration, the term lengths may differ during initialization of this Committee or as a result of replacing a member whose term is not yet expired. Each of the Sponsors can remove any of their respective representatives from office at their own discretion. Representatives may be reappointed only once without an intervening two year period of non-membership, except that a representative appointed to fill the remainder of a term with one year or less may be appointed and reappointed for two full terms.

The Committee shall elect one of its voting members to be the Chair. The Chair shall rotate among the Financial Sponsors. The Chair may not be chosen from the same Society for two consecutive terms. The term of the committee chair shall be two years. If a vacancy develops, the Committee shall elect, by a two-third affirmative vote of its members, one of its members from the same organization as the departing Chair to complete the term.

An Editor-in-Chief-Elect Search Committee will be formed from the four Vice-Presidents for Publications of the Financial Sponsors to identify and nominate an appropriate Editor-in-Chief-Elect. The chair of the Editor-in-Chief-Elect Search Committee shall rotate among the financial sponsoring societies. It shall also be the function of the Editor-in-Chief-Elect Search Committee to provide recommendations to the Committee with a list of suitable applicants ranked from the most preferred to the least preferred with a justification for the ranking. If the chair of the Editor-in-Chief-Elect Search Committee should resign before the end of the search, the Committee shall elect a new chair to complete the search from existing search committee members to reduce the disturbance caused by the resignation and appoint a new member from the same Financial Sponsoring Society of the resigned chair.

The Editor-in-Chief (or Editor-in-Chief-Elect) shall be elected for a two-year term by a two-third affirmative vote of the Committee. During the time of office, the Editor-in-Chief shall be a member of the IEEE and at least one of the Financial Sponsoring Societies. The Editor-in-Chief is responsible for the overall editorial operation of the Publication which includes the assignment of papers. It shall also be the function of the Editor-in-Chief to provide recommendations to the Committee for Associate Editor positions, and after approval of the Committee, appoint the associate editors. If an Editor-in-Chief should resign before the end of the term, the Committee shall elect a replacement to complete the term by a two thirds affirmative vote of the Committee. The Editor-in-Chief may be removed from office by a three-fourths affirmative vote of the Committee. An Editor-in-Chief can be reelected, but cannot serve more than two consecutive terms. To speed up the launch of the journal, the journal setup phase will be exempted from the term of the Founding Editor-in-Chief with the actual first term starting from the 1st of January of the year following the first volume of the publication.

The Editor-in-Chief-Elect shall be elected at least one year before the end of the second term of the current Editor-in-Chief. During the term of office the Editor-in-Chief-Elect shall be a member of the IEEE and at least one of the Financial Sponsoring Societies. The Editor-in-Chief-Elect will assist the Editor-in-Chief in carrying out administrative functions, learn the operation of the Publication, and succeed the Editor-in-Chief at the end of his/her term.

The Associate Editors shall be appointed for a one-year term, and approved by a two-third affirmative vote by the Committee. Each shall be responsible for managing paper reviews specifically dealing with an assigned subject area. An Associate Editor can be reappointed, but cannot serve more than six consecutive terms.

As required, the Committee may appoint Associate-Editors-at-Large to process papers requiring special expertise. The term of office must be specified prior to appointment.

The Committee is responsible for providing a status report, at least once annually, on publishing and financial matters to the President and Treasurer of each Financial Sponsor.

EDITORIAL POLICY

Editorial selection and review shall be consistent with the policies and procedures of IEEE, including but not limited to the IEEE Publication Services and Products Board Operations Manual and as expressed in clauses A and B below.

- A. As a matter of principle, the Financial Sponsors shall direct the Editors of their other publications to forward suitable material to the Publication. The Committee shall develop procedures for the Financial Sponsors to (a) to request, prepare, and publish special issues of the Publication, and (b) to direct papers not within the scope of the Publication to other Financial Sponsor publications for consideration.
- B. Authors of Financial Sponsors' conference, symposium, or workshop papers are encouraged to update and significantly rewrite their papers and submit them to the Publication for consideration to be published as original papers.

PERIODICAL SCOPE

The current scope of the Publication is as follows:

“The IEEE Transactions on Artificial Intelligence (TAI) is a multidisciplinary journal publishing papers on theories and methodologies of Artificial Intelligence. Applications of Artificial Intelligence are also considered.”

This scope can be changed after approval by the Publication' Management Committee as well as each of its financial sponsoring partners. Formal scope change approval and implementation processes in existence within the IEEE at the time of the change consideration shall also be followed.

PERIODICAL COVER AND NON-MEMBER MARKETING MATERIALS TREATMENT

The cover of the Publication shall have a statement that the Publication is a joint publication of the Financial Sponsors. The cover or Publication Information page shall also carry the logos of both the IEEE and the Financial Sponsors. In addition, all marketing information solely focused on the Publication shall also have a statement that the Publication is a joint publication of the Financial Sponsors.

INTELLECTUAL PROPERTY

The IEEE shall own the trademark to the title of the Publication and shall be free to register such mark at IEEE's sole discretion but at the expense of the Publication, as this is part of standard practice. The IEEE shall also own the copyright to the Publication.

All authors of articles and material in the Publication shall transfer their copyright to the IEEE, except for material not subject to copyright protection or material for which the author has exercised an open access publishing option wherein copyright is retained in accordance with IEEE's open access publication process.

FINANCES

Charges to the Publication shall be in accordance with its regular procedures for its periodicals. Additional expenses beyond the line items listed and changes exceeding the budgeted item by more than 10% require approval from the President of each Financial Sponsor before any decision which deviates from plan/budget is made (i.e. over-publishing the budgeted page count).

AGREEMENTS & DURATIONS

The term of this agreement shall begin March 1, 2020 and continue through December 31, 2025 unless terminated in accordance with this agreement prior. A Financial Sponsor may withdraw from Financial Sponsorship upon written one-year notification to the other Financial Sponsors' President, Vice President for Publications, and Executive Director (staff, if the position exists), as well as Technical Activities staff (via periodical@ieee.org). A Financial Sponsor's withdrawal from the agreement would also be prompted if the Financial Sponsor ceases existence, although as much forewarning as possible should be given to other Financial Sponsors to enable development of a transition plan. In the absence of either of these aforementioned withdrawal activities, the agreement will automatically be renewed every five years.

All modifications to this agreement must be approved by the Administrative Committees or Board of Governors of each of the Financial Sponsors. All modifications to this agreement must be in writing, signed by the Presidents of the Financial Sponsors, and communicated to the Publication's Committee, the Executive Directors of each Financial Sponsor (if the staff position exists), and Technical Activities staff (via periodical@ieee.org).

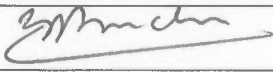
Upon termination of this agreement or the termination of the Publication, the Financial Sponsors agree to the following terms:

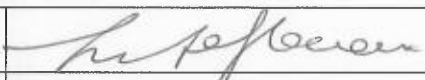
- A. The Publication shall cease publication at the end of the next calendar year, or earlier, as mutually agreed to by all Financial Sponsors. This will allow for planning and communication surrounding how to most effectively transition operations keeping in mind the need for communication and coordination with involved entities, authors, readers, and subscribers.
- B. In the case of a requested withdrawal from Financial Sponsorship, effective end date of the partnership shall be at the end of a publication year, mutually agreed to by all Financial Sponsors. This will allow for planning and communication surrounding how to most effectively transition operations keeping in mind the need for communication and coordination with involved entities, authors, readers, and subscribers.
- C. IEEE shall retain all intellectual property rights granted to it under this agreement.
- D. The year-end finances will be netted out to zero.
- E. All indications of joint sponsorship with the sponsoring Societies shall be removed from all future issues of the Publication.
- F. Those papers accepted for the Publication or under review and subsequently accepted shall remain committed to Publication.


OUTREACH FOR COMMUNICATIONS

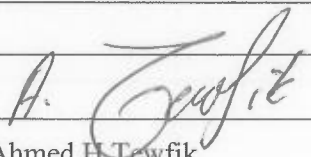
Financially sponsoring Societies and Councils are authorizing periodic outreaches to their members or community participants for purposes of subscription and author awareness.

AGREEMENT ACCEPTANCE BY THE PRESIDENT OF THE [FINANCIAL PARTNERS]:

Signature		
Name	Bernadette Bouchon-Meunier	
Title	Dr	
Society/Council	Computational Intelligence Society	
Date	14/ Feb / 2020	

Signature		
Name	Leila De Floriani	
Title	Professor	
Society/Council	Computer Society	
Date	14/ Feb / 2020	

Signature		
Name	Rudas Imre	
Title	Prof. Dr.	
Society/Council	Systems, Man, and Cybernetics Society	
Date	14/ Feb / 2020	

Signature		
Name	Ahmed H. Tewfik	
Title	Prof	
Society/Council	Signal Processing Society	
Date	14/ Feb / 2020	